

Environment (B)

Open Quantum Systems in Quantum Computing

Xiantao Li Penn State University xli@math.psu.edu



Why Open Quantum Systems?

- Quantum systems in reality are interacting with an environment (bath)
 ⇒ open quantum systems
- These interactions have a deep impact on the system dynamics.
 - Quantum decoherence (Echo dynamics, Girin et al. Phys. Rep. 2006)
 - Gate error and error mitigation (Temme et al. PRL 2017)
- The study of open quantum systems starts with the combined system.
- The combined system usually has large dimension and is difficult to prepare and simulate directly.
- The theory of open quantum system attempts to identify models that implicitly incorporates the effect of the bath (Breuer & Petruccione).

Example: A single-qubit dynamics

Close quantum dynamics

• $H_S = -\frac{1}{20}\sigma_Z$ • $|\psi(0)\rangle = \frac{\sqrt{3}}{2}e^{-\frac{i\pi}{4}}|0\rangle + \frac{1}{2}e^{\frac{i\pi}{4}}|1\rangle$ • $x(t) = \langle \psi(t) | \sigma_x | \psi(t) \rangle, y(t) \cdots$ With environment noise (Gaspard-Nagaoka, JCP 1999) • Boson bath

- Gaussian noise
- Coupling constant $\lambda = 0.1$



Time-dependent Schrödinger



Stochastic Schrödinger Quantum Master Eq., or Expectation from SSE

Applications outside quantum computing

- Quantum optimal systems
 - Quantum electron dynamics (QED)

- Photodection
- Echo dynamics in NMR
- Cosmological system
 - Shandera et al 2018 Phys. Rev. D

Electron transport

 The quantum device is connected to leads with different potential



- NEGF from Lindblad (Arrigoni et al PRL 2013).
- Stochastic TDDFT (Di Ventra-D'Agosta, PRL 2007)

Outline

- Markovian dynamics from the combined quantum system
- Quantum algorithms
 - Time-marching schemes
 - First-order scheme
 - Higher order approximation in the Kraus form
- Non–Markovian dynamics
 - Stochastic unravelling of non-Markovian dynamics
 - Markovian embedding of the memory
 - Generalized quantum master equation
 - Quantum algorithms

System-bath dynamics

- Total Hamiltonian: $H = H_S \otimes I_B + I_S \otimes H_B + \lambda H_I$
- Liouville van Neumann equation

 $\frac{d}{dt}\rho_{tot} = -i[H_{tot}, \rho_{tot}] \Rightarrow \rho_{tot}(t) = U_{tot}(t)\rho_{tot}(0)U_{tot}(t)^{\dagger}$

- Unitary evolution: $U_{tot}(t) = \exp(-itH_{tot})$.
- $\rho_{tot}(0) = \rho_S(0) \otimes \rho_B(0), \rho_B(0) \propto \exp(-\beta H_B)$
- System density-operator: $\rho_S(t) = tr_B(\rho_{tot}(t))$.
- The <u>Kraus form (Lidar et al 2001</u> Chem Phys.)

 $\rho_S(t) = \sum_i A_j(t) \rho_S(0) A_j(t)^{\dagger}$

 $\langle m | A_j(t) | n \rangle = \langle m | \langle \mu | U_{tot}(t) | \nu \rangle | n \rangle, i = (\mu, \nu).$

Markovian dynamics

- Interaction picture: $\rho_I(t) = U(t)^{\dagger}\rho(t)U(t)$ $U(t) = \exp -it(H_S \otimes I_B + I_S \otimes H_B)$
- LvN in the interaction picture

 $\frac{a}{dt}\rho_I = -i\lambda[H_I(t),\rho_I(t)].$

- For example, $H_I(t) = S(t) \otimes B(t)$.
- $\rho_I(t) = \rho_I(0) i\lambda \int_0^t [H_I(t'), \rho_I(t')] dt'$
- $\frac{d}{dt}\rho_I = -\lambda^2 \int_0^t [H_I(t), H_I(t'), \rho_I(t')]dt'$
- Assume weak coupling $\lambda \ll 1$

 $\rho_I(t) = \rho_{S,I}(t) \otimes \rho_B + O(\lambda)$

- In addition, assume that $\langle B(t), B(t') \rangle \approx \delta(t-t')$.
- Then $\frac{d}{dt}\rho_{S,I} = -\lambda^2 c[S, S, \rho_{S,I}(t)]$
- This is a Lindblad equation in the interaction picture. (Cao-Lu J. Math Phys)



- Markovian + CPTP \Rightarrow Lindblad-Gorini-Kossakowski-Sudarshan equation $\frac{d}{dt}\rho = -i[H_S,\rho] + \sum_j L_j\rho L_j^{\dagger} - \frac{1}{2}\{L_j^{\dagger}L_j,\rho\}$
- Lindblad equation ⇒ Channel representations?
- Let's try the Euler's method
- Time steps: $t_0, t_1, \cdots, t_N = T; t_n = n\Delta t$.
- Euler: $\rho_{n+1} = \rho_n + \Delta t G \rho_n + \Delta t \rho_n G^{\dagger} + \Delta t_1 \sum_j L_j \rho L_j^{\dagger}$ $G = -iH - \frac{1}{2} \sum_j L_j^{\dagger} L_j^{\dagger} L_j$
- Kraus form:

$$\rho_{n+1} = (I + \Delta tG)\rho_n(I + \Delta G)^{\dagger} + \Delta t\sum_i L_j\rho L_j^{\dagger} + O(\Delta t^2)$$

- $A_0 = I + \Delta t (-iH + G)$
- $A_j = \sqrt{\Delta t} L_j$



Why quantum algorithms

- Classical computation for Lindblad has a complexity that is polynomial in the dimension N.
- For example, it involves matrix vector multiplication.
- Quantum algorithms may have complexity $\log N \Rightarrow$ exponential speed
- Existing methods
 - Natural representation (Schlimgen et al PRR 2022)
 - Stinespring form (Wang et al, using Ham-generated unitary, PRL 2013)
 - Kraus form
- We will consider algorithms with high accuracy and complexity estimates.

Time-marching schemes

- Lindblad equation: $\frac{d}{dt}\rho = \mathcal{L}\rho$
- One-step approximation: $e^{\Delta t \mathcal{L}} \rho \approx \mathcal{E}_{\Delta t} \rho$
- Global approximation: $e^{T\mathcal{L}}
 ho pprox \mathcal{E}_{\Delta t}^{\overline{\Delta t}}
 ho$
- Stinespring form.

 $\rho(\mathbf{t} + \Delta \mathbf{t}) = e^{\Delta t \mathcal{L}} \rho \approx t r_A(U|0\rangle \langle 0| \otimes \rho(t) U^{\dagger})$

 $\sqrt{\Delta t}H_S$ L_1^{\dagger} L_2^{\dagger} ...

- Only need to specify the first col of U.
- For example. $U = \exp{-i\sqrt{\Delta t}J}$. (Cleve-Wang 2017)
- Global error $O(T\Delta t)$
- Complexity $0\left(\frac{T^2}{\epsilon}\right)$.
- Improved method (T. Li and Childs 2017): $O\left(\frac{T^{\frac{3}{2}}}{1}\right)$.
- Nearly optimal complexity $O(m^2q^2T\log\frac{1}{\epsilon})$ (Cleve–Wang 2017 \mathcal{L} expressed as Paulis)



Structure-Preserving Methods

- How to find a higher-order Kraus form?
- A direct time discretization # CPTP map
- A structure-preserving method (Cao-Lu 2021)
- Decompose a Lindbladian: $\mathcal{L} = \mathcal{L}_D + \mathcal{L}_J$
- $\mathcal{L}_D = \left[-iH \frac{1}{2} \sum_{j=1}^m L_j^{\dagger} L_j, \cdot \right]; \mathcal{L}_J \rho = \sum_{j=1}^m L_j \rho L_j^{\dagger}$

Duhamel's principle

- $\rho(t) = e^{t\mathcal{L}_D}\rho(0) + \int_0^t e^{(t-t_1)\mathcal{L}_D}\mathcal{L}_J\rho(t_1)dt_1$
- $\rho(t) = e^{t\mathcal{L}_D}\rho(0) + \int_0^t e^{(t-t_1)\mathcal{L}_D}\mathcal{L}_J e^{t_1\mathcal{L}_D}\rho(0)dt_1 + O(t^2)$

Higher-order methods in Kraus form

Repeat for the formula K times

$\rho(t) = e^{t\mathcal{L}_D}\rho(0) + \sum_{k=1}^{K} \int e^{(t-t_k)\mathcal{L}_D} \mathcal{L}_J e^{(t_k-t_{k-1})\mathcal{L}_D} \cdots \rho(0) dt_1 \cdots dt_k + O(\frac{(2\|L\|t)^{K+1}}{(K+1)!})$

Observations

- $e^{t\mathcal{L}_D}\rho(0) = D\rho(0)D^{\dagger}, D = \exp -t(iH + \frac{1}{2}\sum_{j=1}^m L_j^{\dagger}L_j)$
- It is a CP map in the Kraus form.
- $\mathcal{L}_{J}\rho = \sum_{j=1}^{m} L_{j}\rho L_{j}^{\dagger}$ is also CP in the Kraus form
- A composition of CP maps is CP
- Overall the solution is expressed in a Kraus form.



Approximating the integrals

- The integrals are treated with Gaussian quadrature
- Gaussian quadrature $(s_1, s_2, \dots, s_q), (w_1, w_2, \dots, w_q)$
- $\int_0^1 f(t)dt = \sum_{j=1}^q w_j f(s_j) + O\left(\frac{q||f^{2q}||}{(2q)!2^{4q-1}}\right).$
- $\int e^{(t-t_k)\mathcal{L}_D} \mathcal{L}_J e^{(t_k-t_{k-1})\mathcal{L}_D} \cdots \rho(0) dt_1 \cdots dt_k$ $\approx \sum_{j_1=1}^q \sum_{j_2=1}^q \cdots \sum_{j_k=1}^q w_{j_k}, w_{(j_k,j_{k-1})}, \cdots w_{(j_k,\cdots j_1)} F_k(s_{j_k}, s_{(j_k,j_{k-1})}, \cdots s_{(j_k,\cdots j_1)}) + O\left(\frac{\left||G|\right|^{2q} 2^{2k} t^{2q+k}}{(k-1)! (2q)!}\right)$
- The sum of the coefficients $\Sigma_{j_1}\Sigma_{j_2}\cdots\Sigma_{j_k}w_{j_k}, w_{(j_k,j_{k-1})}, \cdots w_{(j_k,\cdots j_1)} = \frac{t^{\kappa}}{(k+1)!}$
- Truncation: $K, q = \frac{\log 1/\epsilon}{\log \log 1/\epsilon}$.

Implementing the Kraus forms

• A general CPTP map in a Kraus form

$$\mathcal{E}\rho = A_0\rho A_0^{\dagger} + \sum_{j=1}^{\infty} A_j\rho A_j^{\dagger}$$

- Block-encode $A_j: A_j \approx s_j (\langle 0 | \otimes I) U_j (| 0 \rangle \otimes I)$
- $|\mu\rangle \propto \sum_j s_j |j\rangle$.
- $W = \sum_{j} |j\rangle \langle j| \otimes U_{j} |\mu\rangle |0\rangle \otimes I.$
- $\sum_{j} |j\rangle A_{j} |\psi\rangle \approx I \otimes \langle 0| \otimes I \rangle \sum_{j} |j\rangle \langle j| \otimes U_{j} |\mu\rangle |0\rangle |\psi\rangle$
- $|\rho_{new}\rangle = (A_0|\psi\rangle, \cdots, A_j|\psi\rangle, \cdots)$
- $\mathcal{E}\rho = \operatorname{tr}_{A}(|\rho_{new}\rangle\langle\rho_{new}|)$

Main theorems

- Norm of the Linbladian: $||\mathcal{L}||_{he} = \alpha_0 + \sum_{j=1}^m \alpha_j^2$.
- Theorem (Li and Wang 2023). Suppose that we have the block encodings of H_S and $L_j, j = 1, 2, \cdots, m$. For all $t, \epsilon > 0$, there is a quantum algorithm that yields an approximate density operator $\rho(t) = e^{t\mathcal{L}}\rho(0)$, with error within ϵ using $O(t||\mathcal{L}||_{be} \operatorname{polylog} \frac{t}{\epsilon})$ queries and $O(tm||\mathcal{L}||_{be} \operatorname{polylog} \frac{t}{\epsilon})$ additional 1- and 2-qubit gates.



Non-Markovian dynamics

- Why non-Markvoian?
- When there is no scale separation, non-Markovian properties emerge.
 - Divergence from standard properties [Gröblacher, non-Ohmic spectral density, 2015].
 - Measuring non-Markovianity [Breuer 2009].
 - Modeling a non-Markovian quantum dynamics
 - Controlling a non-Markovian dynamics
- There is a backflow of information.
- There is no universal form for the QME.
- It is difficult to preserve the CP property.
- The form of the equations depends heavily on the bath properties
- Our approach: Stochastic unravelling.

The connection with SSE

- A stochastic Schrödinger equation is a more intuitive description
- Stochastic Schrödinger

$$id\psi = (H_S - \frac{i\lambda^2}{2}\sum_{j=1}^M L_j^{\dagger}L_j)\psi dt + \lambda \sum_{j=1}^M L_j \psi dW_j$$

- The equation is written in the Ito form.
- dW_j : complex-valued white noise (multiplicative)
- SDE: $dz_t = a(z_t)dt + b(z_t) \circ dW_t$ (Stratonovich)
- Then $z_t = e^{D_t} z_0$, (Stochastic flow Kunita 1994)

$$D_{t} = tX_{0} + W_{t}X_{1} + \frac{1}{2} \left(\int_{0} sdW_{s} - \int_{0} W_{s}ds \right) [X_{0}, X_{1}] + \cdots$$

$$X_{0} = a \cdot \nabla_{z_{0}}, X_{1} = b \cdot \nabla_{z_{0}}.$$

• Application to SSE (Li and Li PRE 2020).

 $|\psi(t)\rangle = \exp\left(-itH - \frac{t}{2}(L^{\dagger} + L)L + LW_{t} + K_{(0,1)}\left(\frac{1}{2}[L^{\dagger}, L]\right)L + i[H, L]\right) + \cdots \right) |\psi(0)\rangle$

- The covariance $\rho(t) = E[|\psi(t)\rangle\langle\psi(t)|]$ satisfies Lindblad Eq.
- Can we use SSE to derive non-Markovian dynamics?



Stochastic Schrödinger Equation

- Schrödinger equation $i\partial_t \Psi = H\Psi$.
- A complete basis in \mathcal{H}_B . $H_B|n\rangle = \varepsilon_n|n\rangle$, $|n\rangle = \chi_n(r_B)$.
- Expand $\Psi = \sum_{n} \varphi_n(r_s, t) \chi_n(r_B)$.
- An infinite set of equations for $\varphi_n(\cdot,t)$ with
- Assume that $H = H_S \otimes I_B + I_S \otimes H_B + \lambda S \otimes B$, $0 < \lambda \ll 1$.
- Using perturbations: (ϕ as a realization of φ_n) [Gaspard-Nagaoka 1999]

$$\begin{split} i\partial_t \phi &= H_S \phi - i\lambda^2 S^{\dagger} \int_0^t C(\tau) e^{-iH_S \tau} S \phi(t-\tau) d\tau - i\lambda S \eta \ (t) \\ C(t) &= tr \left(\rho_B^{eq} B(t) B(0) \right). \end{split}$$

- The correlation is related to the spectral density
- This NM SSE does not have an exact QME.

An Embedding Approach

- It is typically expensive to solve the non-Markovian SSE directly
- We start with the SSE:

 $i\partial_t \phi = H_S \phi - i\lambda^2 S^{\dagger} \int_0^{\tau} C(\tau) e^{-i\tau H_S} S\phi(t-\tau) d\tau - i\lambda S\eta(t)$

- Approximating $\eta(t)$ by a complex OU process (Risken)
 - $i\dot{\zeta} = -\alpha\zeta + \gamma\dot{W}(t).$
 - If $\gamma^2 = 2Im[\alpha], c(t,t') = \langle \zeta(t)^* \zeta(t') \rangle = e^{-i\alpha^*(t-t')}, t \ge t'.$
 - Idea: Use c(t,t') and $\zeta(t)$ as building blocks to approximate C(t) and $\eta(t)$
- Approximation by exponentials:

 $C(t) = \theta^2 e^{-i\alpha^* t}, \eta(t) = \theta \zeta(t).$ $\Rightarrow \langle \eta(t)^* \eta(s) \rangle = C(t - s).$ $\chi = \frac{\lambda}{\theta} \int_0^t C(\tau) e^{-i\tau H_S} S\phi(t - \tau) d\tau. \text{ An auxiliary orbital.}$ $\chi: i\partial_t \chi = (H_S + \alpha^*) \chi + i\lambda\theta S\phi.$

Approximating the BCF



• This is a sum of Lorentzians.

The non-Markovian dynamics is now embedded in an extended, but Markovian dynamics.

The computation is much more efficient.

Embedding into Linear SSEs

- Define an auxiliary orbital: $\chi^{II} = i\phi(t)\zeta(t)$
- Keep up to $\mathcal{O}(\lambda^2)$ terms,

$$\begin{split} i\partial_t \phi &= H_S \phi - i\lambda \theta S^{\dagger} \chi^I - i\lambda \theta S \chi^{II} \\ i\partial_t \chi^I &= (H_S + \alpha^*) \chi^I + i\lambda \theta S \phi. \\ i\partial_t \chi^{II} &= (H_S - \alpha) \chi^{II} + i\gamma \phi \dot{W}(t). \end{split}$$

- We obtain linear SDEs.
- $\mathcal{O}(\lambda^3)$ approximations,

$$\begin{split} &i\partial_t \chi^I = (H_S + \alpha^*)\chi^I + i\lambda\theta S\phi. \\ &i\partial_t \chi^{II} = (H_S - \alpha)\chi^{II} - i\lambda\theta S^{\dagger}\chi^{III} - i\lambda\theta S\chi^{IV} + i\gamma\phi\dot{W}(t). \\ &i\partial_t \chi^{III} = i\lambda\theta S\chi^{II} + (H_S + \alpha^* - \alpha)\chi^{III} + i\gamma\chi^I\dot{W}(t). \\ &i\partial_t \chi^{IV} = i\lambda\theta S^{\dagger}\chi^{II} + (H_S - 2\alpha)\chi^{IV} + 2i\gamma\chi^{II}\dot{W}(t). \end{split}$$

Multiple Jump Operators

Non-Markovian SSE

 $i\frac{d}{dt}\psi = H\psi - i\lambda^2 \sum_{\alpha,\beta=1}^{M} \int_{0}^{t} C_{\alpha,\beta}(\tau)S_{\alpha}^{\mathsf{T}} e^{-i\tau H}S_{\beta}\psi(t-\tau)d\tau$ $+\lambda \sum_{\alpha=1}^{M} \eta_{\alpha}(t)S_{\alpha}\psi(t).$

- Time correlation: $E[\eta_{\alpha}(t)\eta_{\beta}(t')^{\dagger}] = C_{\alpha,\beta}(t-t').$
- Approx. BCF: $C(t) \approx \sum_{j=1}^{J} \theta_j^2 |R_j\rangle \langle R_j | e^{-id_j t} . Imd_j \ge 0.$
- Auxiliary wave function, $\{\chi_{j,\beta}^{I}, \chi_{j,\beta}^{II}, \chi_{j,\beta}^{III}, \chi_{j,\beta}^{III}, \chi_{j,\beta}^{IV}\}$

The generalized quantum master eqn (GQME)

- Linearity \Rightarrow closed form of the density-matrix equation.
- $\Gamma = \mathrm{E}[|\Phi\rangle\langle\Phi|]. \ \Phi = [\phi \ \chi^I \ \chi^{II}]. \ \rho_S = \mathrm{E}[|\phi\rangle\langle\phi|].$
- Extended system: $i\partial_t \Phi = H\Phi + \sum_k V_k \phi \dot{W}(t)$. Unravelling of NM dynamics
- QME: $i\partial_t \Gamma = H\Gamma \Gamma H^{\dagger} + \sum_k V_k \rho V_k^{\dagger}$.
 - It is not exactly Lindblad.
 - It preserves the positivity.

• The initial condition: $\Gamma(0) =$



• Density: $\rho_S(t) = Q\Gamma(t)Q^T$, $(Q = |0\rangle \otimes I$) is the first diagonal block. $tr(\rho_S) = 1 + O(\lambda^3)$ The GQME (contd)



- $H = I_A \otimes H_S + H_A \otimes I_S + i\lambda \sum_k D_k \otimes T_k + i\lambda \sum_k E_k \otimes T_k^{\mathsf{T}}$
- The space is enlarged to mimic the influence of the environment.

The properties of the GQME



• Perturbation form: $\partial_t \Gamma = \mathcal{L}_0 \Gamma + \lambda \mathcal{L}_1 \Gamma$

Theorem: The solution of the unperturbed GQME is bounded for all time:

 $||\Gamma_0(t)|| \le C||\Gamma_0(0)||, \forall t \ge 0.$

The block of $\Gamma(t)$, $\tilde{\rho}(t)$ follows unitary evolution. The trace is given by: $tr(\Gamma_0(t)) = 2K + 1 + \sum_{1 \le k \le K} e^{-4\nu_k t}$. The trace of $\Gamma(t)$ has the bound $tr(\Gamma(t)) = 2K + 1 + \sum_{1 \le k \le K} e^{-4\nu_k t} + O(\lambda^2)$. The block of $\Gamma(t)$, $\tilde{\rho}(t)$: $tr(\tilde{\rho}(t)) = 1 + O(\lambda^3)$.



Modeling Error in terms of λ

- Model Error: From the system dynamics, one can apply an asymptotic analysis.
- $\rho_S(t) = \rho_S^{(0)}(t) + \lambda \rho_S^{(1)}(t) + \lambda^2 \rho_S^{(2)}(t) + O(\lambda^3).$
- The first order term disappears after the partial trace
- The second order term involves the BCF.

Theorem (Li-Wang 2023). Let $\hat{\rho}_S(t)$ be the first diagonal block of $\Gamma: \hat{\rho}_S = \langle 0 | \Gamma | 0 \rangle$. Then

- $\left\| \hat{\rho}_S(t) \left(\rho_S^{(0)}(t) + \lambda^2 \rho_S^{(2)}(t) \right) \right\| \leq C \lambda^3.$
- The GQME is consistent with the second order expansion.

Simulating the non-Markovian dynamics

Kraus form from an infinitesimal approximation:

• $A_0 = I - i\Delta tH$

 $M_{\Delta t}\rho = A_0\rho A_0^{\dagger} + \sum A_m\rho A_m^{\dagger}$

• $A_m = \sqrt{\Delta t} V_m$

• $|M_{\Delta t}\rho - e^{\Delta tL}|_{\diamond} \leq 5||L||^2 \Delta t^2$. But it can be improved to higher order. Theorem (Li-Wang, CMP, 2023). Suppose that we are given the access to block encodings of H_S and S_m , $m \in [M]$, $\lambda > 0$, $d_k, k \in [K]$, and $\rho_S(0)$ that exists a quantum algorithm that produces $\rho_S(t)$ s.t. $||\rho_S(t) - \langle 0| \otimes I\Gamma(t)|0\rangle \otimes I|| < \epsilon$. The algorithm uses $O\left(t \text{polylog}\left(\frac{t}{\epsilon}\right) \text{poly}(M, K, \lambda)\right)$ queries to H_S and S_m and additional 1 and 2-qubit gates.

Open issues

Extensions of Lindblad simulations

- Multiple time scales
- Time-dependent Lindbladians
 Non-Markovian QME
- Time local Lindbladians
- Hierarchical equations of motions (HEOM)
 What can we use these simulators for?
- Reaching thermal state?
- Control of open quantum systems.
- Quantum error mitigation?
- Electron transport. (how to deal with the nonlinear potential?)



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